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Leptogenesis and

neutrino masses

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The double side of Leptogenesis

Cosmology (early Universe)

• <u>Cosmological Puzzles</u>:



- 2. Matter antimatter asymmetry
- 3. Inflation
- 4. Accelerating Universe
- <u>New stage in early Universe history</u>:
 - < 10¹⁴ GeV Inflation — Leptogenesis
 - 100 GeV EWSSB
 - 0.1-1 MeV BBN
 - 0.1-1 eV Recombination



Leptogenesis complements low energy neutrino experiments testing the seesaw mechanism high energy parameters

⇒ It provides a precious information on the BSM physics responsible for neutrino masses and mixing: a model builders compass

Primordial matter-antimatter asymmetry Symmetric Universe with matter-anti matter domains? Excluded by CMB + cosmic rays $\Rightarrow \eta_{B}^{MB} = \frac{n_{B} - n_{B}}{n_{v}} = (6.2 \pm 0.15) \times 10^{-10}$ Pre-existing ? It conflicts with inflation ! (Dolgov '97) \Rightarrow dynamical generation (baryogenesis) (Sakharov '67)

Neutrino masses: $m_1 < m_2 < m_3$

neutrino mixing data

2 possible schemes: normal or inverted

$$m_3^2 - m_2^2 = \Delta m_{\rm atm}^2 \text{ or } \Delta m_{\rm sol}^2 \quad m_{\rm atm} \equiv \sqrt{\Delta m_{\rm atm}^2 + \Delta m_{\rm sol}^2} \simeq 0.05 \,\text{eV}$$
$$m_2^2 - m_1^2 = \Delta m_{\rm sol}^2 \text{ or } \Delta m_{\rm atm}^2 \quad m_{\rm sol} \equiv \sqrt{\Delta m_{\rm sol}^2} \simeq 0.009 \,\text{eV}$$



Minimal scenario

•Type I seesaw

$$\mathcal{L}_{\rm mass}^{\nu} = -\frac{1}{2} \left[\left(\bar{\nu}_L^c, \bar{\nu}_R \right) \left(\begin{array}{cc} 0 & \boldsymbol{m}_D^T \\ \boldsymbol{m}_D & \boldsymbol{M} \end{array} \right) \left(\begin{array}{c} \nu_L \\ \boldsymbol{\nu}_R^c \end{array} \right) \right] + h.c.$$

In the see-saw limit ($M\gg m_D$) the spectrum of mass eigenstates splits in 2 sets:

• 3 light neutrinos ν_1, ν_2, ν_3 with masses

 $diag(m_1, m_2, m_3) = -U^{\dagger} m_D \frac{1}{M} m_D^T U^{\star}$

• 3 new heavy RH neutrinos N_1, N_2, N_3 with masses $M_3 > M_2 > M_1 \gg m_D$

•<u>Thermal production of the RH neutrinos</u> \Rightarrow $T_{RH} \gtrsim M_i$

An impossible task ?

Is it possible to reconstruct m_D and M just from low energy neutrino experiments measuring m_i and U_{PMNS}?

(Casas,Ibarra'01)
$$m_{\nu} = -m_D \frac{1}{M} m_D^T \Leftrightarrow \Omega^T \Omega = I$$

$$\begin{bmatrix} m_D \\ m_D \end{bmatrix} = \begin{bmatrix} U \begin{pmatrix} \sqrt{m_1} & 0 & 0 \\ 0 & \sqrt{m_2} & 0 \\ 0 & 0 & \sqrt{m_3} \end{pmatrix} \Omega \begin{pmatrix} \sqrt{M_1} & 0 & 0 \\ 0 & \sqrt{M_2} & 0 \\ 0 & 0 & \sqrt{M_3} \end{pmatrix} \begin{bmatrix} U^{\dagger} U \\ U^{\dagger} & m_{\nu} & U^{\star} \end{bmatrix} = \begin{bmatrix} U \\ U^{\dagger} & m_{\nu} & U^{\star} \end{bmatrix}$$

(in the basis where charged lepton and Majorana mass matrices are diagonal)

parameter counting: 6 + 3 + 6 + 3 = 18

However, hand neutrino experiments give information only on the 9 parameters contained in $m_{\nu} = -U D_m U^T$

The 6 parameters in the orthogonal matrix Ω [it encodes the 3 life times and the 3 total CP asymmetries of the RH neutrinos and it is an invariant (King '07)] + the 3 masses M_i escape the conventional investigation !

Leptogenesis is important to obtain information on the high energy parameters complementing the low energy neutrino experiments

The simplest description: vanilla leptogenesis

1) Flavor composition of final leptons is neglected



If $\epsilon_i \neq 0$ a lepton asymmetry is generated from N_i decays and partly converted into a baryon asymmetry by sphaleron processes if $T_{reh} \gtrsim 100 \text{ GeV}$! (Kuzmin, Rubakov, Shaposhnikov, '85)

$$N_{B-L}^{\text{fin}} = \sum_{i} \varepsilon_{i} \kappa_{i}^{\text{fin}} \Rightarrow \eta_{B} = a_{\text{sph}} \frac{N_{B-L}^{\text{fin}}}{N_{\gamma}^{\text{rec}}} \qquad \begin{array}{c} \text{baryon-to} \\ -\text{photon} \\ number \\ \text{ratio} \end{array}$$

efficiency factors \simeq # of N_i decaying out-of-equilibrium Successful leptogenesis : $\eta_B = \eta_B^{CMB} = (6.2 \pm 0.15) \times 10^{-10}$



4) Barring fine-tuned mass cancellations

$$|\Omega_{ij}^2| \stackrel{<}{\sim} 1$$

\Rightarrow Upper bound on ϵ_1

(Davidson, Ibarra '02)

$$\varepsilon_1 \le 10^{-6} \left(\frac{M_1}{10^{10} \,\mathrm{GeV}} \right) \frac{m_{\mathrm{atm}}}{m_1 + m_3}$$

5) Classical Kinetic equations integrated on momenta

decays

$$\frac{dN_{N_{1}}}{dz} = -D_{1}\left(N_{N_{1}}, N_{N_{1}}^{eq}\right) \longrightarrow \text{ inverse decays}$$

$$\frac{dN_{B-L}}{dz} = -\varepsilon_{1} \frac{dN_{N_{1}}}{dz} \longrightarrow \text{ wash-out}$$

$$\Rightarrow \kappa_{1}(z; K_{1}, z_{in}) = -\int_{z_{in}}^{z} dz' \left[\frac{dN_{N_{1}}}{dz'}\right] e^{-\int_{z'}^{z} dz'' W_{1}(z'')} \qquad z \equiv \frac{M_{1}}{T}$$

Neutrino mass bounds

(Davidson,Ibarra '02;Buchmüller,PDB,Plümacher '02,'03,'04; Giudice et al. '04) <u>N₁ - dominated scenario</u>

$$\Rightarrow N_{B-L}^{\text{fin}} = \sum_i \varepsilon_i \kappa_i^{\text{fin}} \simeq \varepsilon_1 \kappa_1^{\text{fin}}$$



Vanilla leptogenesis is not compatible with quasi-deg. neutrinos

> These large temperatures in gravity mediated SUSY models suffer from the gravitino problem

An encouraging coincidence

The early Universe "knows" neutrino masses ...



Beyond vanilla Leptogenesis

The degenerate limit Non minimal Leptogenesis (in type II seesaw, non thermal,....)

Vanilla Leptogenesis Improved Kinetic description (momentum dependence, quantum kinetic effects,finite temperature effects,......)

Flavour Effects

(heavy flavour effects, light flavour effects, light+heavy flavour effects)

Improved kinetic description

• Momentum dependence in Boltzmann equations (Hannestad' 06; Hahn-Woernle, M. Plümacher, Y.Wong'09; Pastor, Vives'09)

Kadanoff-Baym equations

(Buchmüller,Fredenhagen '01; De Simone,Riotto '07; Garny,Hohenegger, Kartavtsev,Lindner '09; Anisimov,Buchmüller,Drewes,Mendizibal '09; Beneke, Garbrecht, Herranen, Schwaller '10)

The asymmetry is directly calculated in terms of Green functions instead than in terms of number densities and they account for offshell, memory and medium effects in a systematic way

All studies confirm what also happens for other effects (e.g. inclusion of scatterings) and that is expected: large theoretical uncertainties in the weak wash-out regime, limited O(1) corrections in the strong wash-out regime where the asymmetry is produced in a narrow range of temperatures for T << M_i (Buchmüller,PDB,Plümacher)

Light neutrino flavour effects

(Nardi,Nir,Roulet,Racker '06;Abada,Davidson,Losada,Josse-Michaux,Riotto'06; Blanchet, PDB, Raffelt '06; Riotto, De Simone '06)

Flavor composition of lepton quantum states:

$$\begin{aligned} |l_i\rangle &= \sum_{\alpha} \langle l_{\alpha} | l_i \rangle | l_{\alpha} \rangle \quad (\alpha = e, \mu, \tau) \\ |\overline{l}'_i\rangle &= \sum_{\alpha} \langle l_{\alpha} | \overline{l}'_i \rangle | \overline{l}_{\alpha} \rangle \end{aligned}$$

- interactions are flavour blind for $M_i \gtrsim 10^{12} \text{ GeV}$
- But for $M_i \leq 10^{12} \text{ GeV} \implies \tau$ -Yukawa interactions $(\bar{l}_{L\tau} \phi f_{\tau\tau} e_{R\tau})$ are fast enough to break the coherent evolution of $|l_1\rangle$ and $|\bar{l}_1'\rangle$ \implies they become an incoherent mixture of a τ and of μ +e If $M_1 \leq 10^9$ GeV then also μ -Yukawas in equilibrium \implies 3-flavor regime

$$\Rightarrow N_{B-L}^{\text{fin}} = \sum_{i,\alpha} \varepsilon_{i\alpha} \kappa_{i\alpha}^{\text{fin}} \quad (\alpha = e, \mu, \tau)$$

$$\xrightarrow{\text{heavy neutrino}}_{\text{flavor index}} \text{lepton flavor index}$$







Heavy neutrino flavour effects: N_2 -dominated scenario

(PDB '05)

If lepton flavour effects are neglected the asymmetry from the next-to-lightest (N_2) RH neutrinos is typically negligible:

$$N_{B-L}^{f,N_2} = \varepsilon_2 \kappa(K_2) \, e^{-\frac{3\pi}{8} \, K_1} \ll N_{B-L}^{f,N_1} = \varepsilon_1 \, \kappa(K_1)$$

...except for a special choice of $\Omega = R_{23}$ when $K_1 = m_1/m_* \ll 1$ and $\epsilon_1 = 0$:

$$\Rightarrow \boxed{N_{B-L}^{\rm fin} = \sum_i \, \varepsilon_i \, \kappa_i^{\rm fin} \simeq \varepsilon_2 \, \kappa_2^{\rm fin}}_{\epsilon_2} \qquad \varepsilon_2 \stackrel{<}{\sim} 10^{-6} \left(\frac{M_2}{10^{10} \, {\rm GeV}}\right)$$

The lower bound on M_1 disappears and is replaced by a lower bound on M_2 ... that however still implies a lower bound on T_{reh} !



N₂-flavored leptogenesis

(Vives '05; Blanchet, PDB '06; Blanchet, PDB '08)

Combining together lepton and heavy neutrino flavour effects one has



Notice that the presence of the heaviest RH neutrino N_3 is necessary for the CP asymmetries of $N_2\,$ not to be negligible !

N₂-flavored leptogenesis

(Vives '05; Blanchet, PDB '06; Blanchet, PDB '08)

If (for definiteness) $M_2 \gtrsim 10^{12} \; GeV \Rightarrow$



Thanks to flavor effects the domain of applicability extends much beyond the particular choice $\Omega = R_{23}$!

More generally one has to distinguish 10 different RH neutrino mass patterns (Bertuzzo,PDB,Marzola '10)



For each pattern a specific set of kinetic equations has to be considered

Heavy flavored scenario

(Engelhard, Nir, Nardi '08, Bertuzzo, PDB, Marzola '10)

Assume $M_{i+1} > 3M_i$ (i=1,2)

The heavy neutrino flavours basis is not orthogonal in general and this complicates the calculation of the final asymmetry

$$p_{ij} = |\langle \ell_i | \ell_j \rangle|^2 \qquad p_{ij} = \frac{\left| (m_D^{\dagger} m_D)_{ij} \right|^2}{(m_D^{\dagger} m_D)_{ii} (m_D^{\dagger} m_D)_{jj}}.$$

$$N_{B-L}^{\text{lep}}(T_{B1}) = N_{\Delta_{1}}^{\text{lep}}(T_{B1}) + N_{\Delta_{\tilde{1}}}^{\text{lep}}(T_{B1}) ,$$

$$N_{\Delta_{1}}^{\text{lep}}(T_{B1}) = p_{21} p_{32} \varepsilon_{3} \kappa(K_{3}) e^{-\frac{3\pi}{8}(K_{1}+K_{2})} + p_{21} \varepsilon_{2} \kappa(K_{2}) e^{-\frac{3\pi}{8}K_{1}} + p_{\tilde{2}_{31}} (1 - p_{32}) \varepsilon_{3} \kappa(K_{3}) e^{-\frac{3\pi}{8}K_{1}} + \varepsilon_{1} \kappa(K_{1})$$

T A

 $N_{\Delta_{\tilde{1}}}^{\text{lep}}(T_{B1}) = (1 - p_{21}) \left[p_{32} \varepsilon_3 \kappa(K_3) e^{-\frac{3\pi}{8}K_2} + \varepsilon_2 \kappa(K_2) \right]$ $+ (1 - p_{\tilde{2}_{31}}) (1 - p_{32}) \varepsilon_3 \kappa(K_3) .$

Some deviation from orthogonality (it is realized in form dominance models discussed in King's talk) is typically necessary since otherwise (e.g. with tri-bimaximal mixing) one would have vanishing CP asymmetries and therefore no asymmetry produced from leptogenesis (Antusch, King, Riotto '08; Aristizabal, Bazzocchi, Merlo, Morisi '09)

Heavy flavoured scenario in models with A4 discrete flavour symmetry

(Manohar, Jenkins'08;Bertuzzo,PDB,Feruglio,Nardi '09;Hagedorn,Molinaro,Petcov '09)



* The different lines correspond to values of y between 0.3 and 3



For each pattern a specific set of kinetic equations has to be considered

Baryogenesis and the early Universe history	
T _{RH} = ?	Inflation Affleck-Dine (at preheating) Gravitational baryogenesis GUT baryogenesis
10 ⁸ GeV	<u>Leptogenesis (minimal)</u>
100 GeV	— EWBG
0.1- 1 MeV	- BBN
0.1-1 eV	- Recombination

T



The wash-out of a pre-existing asymmetry is guaranteed only in a N₂-dominated scenario where the final asymmetry is dominantly in the tauon flavour (loophole:in supersymmetric models(Antusch,King,Riotto'06) also in N₁ dominated scenarios with $\tan^2 \beta \ge 20$)

This mass pattern is particularly interesting because it is just that one realized in SO(10) inspired models

SO(10)-inspired leptogenesis

(Branco et al. '02; Nezri, Orloff '02; Akhmedov, Frigerio, Smirnov '03)

Expressing the neutrino Dirac mass matrix m_D (in the basis where the Majorana mass and charged lepton mass matrices are diagonal):

 $m_{D} = V_{L}^{\dagger} D_{m_{D}} U_{R} \quad \text{(bi-unitary parametrization)}$ where $D_{m_{D}} = \text{diag}\{\lambda_{D1}, \lambda_{D2}, \lambda_{D3}\}$ and
assuming: 1) $\lambda_{D1} = \alpha_{1} m_{u}, \lambda_{D2} = \alpha_{2} m_{c}, \lambda_{D3} = \alpha_{3} m_{t}, \quad (\alpha_{i} = \mathcal{O}(1))$ 2) $V_{L} \simeq V_{CKM} \simeq I$

one typically obtains (barring fine-tuned exceptions):

$$\begin{split} M_1 \sim \alpha_1^2 \, 10^5 \text{GeV} \,, \, M_2 \sim \alpha_2^2 \, 10^{10} \, \text{GeV} \,, \, M_3 \sim \alpha_3^2 \, 10^{15} \, \text{GeV} \\ \text{since } M_1 \,\, \checkmark \, 10^9 \, \text{GeV} \, \Rightarrow \eta_{\text{B}}(N_1) \,\, \checkmark \, \eta_{\text{B}}^{\text{CMB}} \,\, ! \\ \Rightarrow \text{failure of the } N_1 \text{-dominated scenario } ! \end{split}$$



lower bound on Θ_{13}

Vanishing initial N₂ abundance

The model yields constraints on all low energy neutrino observables !

V_L= I

NORMAL ORDERING



(PDB, Riotto '10)





correlation between Θ_{13} and Θ_{23}



Low values of the atmospheric angle are strongly favoured and maximal mixing is very marginally allowed and excluded for $\Theta_{13} < 6^{\circ}$

Yellow points: α_2 =5 Green points: α_2 =4 Red star : α_2 =3

The model does not seem to predict necessarily CP violation in neutrino oscillations



On the other hand the Majorana phases play a crucial role



 σ

Effective Majorana mass small but non vanishing and unambiguosly related to m_1



A third encouraging coincidence ! (PDB, Riotto '10)

The scenario seems to like $\Theta_{13} < 10^{\circ}!$

Blue points: α_2 =4 and mixing angles let free in (0,60°) Green points: α_2 =4 and current experimental constraints imposed on mixing angles





For the solution with $m_1 \sim 3 \times 10^{-3} \text{ eV}$ the asymmetry is dominantly produced in the tauon flavour since $\epsilon_{2\tau,\mu,e} \propto (m_{t,c,\mu})^2$



For these solutions all conditions for a full independence of the initial confitions are fullfilled !

The model yields constraints on all low energy neutrino observables !

V_L= I



*α*₂=4.7





 $I < V_L < V_{CKM}$ NORMAL ORDERING $\alpha_2=5 \alpha_2=4$

 $\alpha_2=3.7$ m₁ < 0.01 eV



 $I < V_L < V_{CKM}$

INVERTED ORDERING

 $\alpha_2 = 5$ $\alpha_2 = 4$ $\alpha_2 = 1.5$



Conclusions

Leptogenesis is an important way to complement low energy neutrino experiments to test the see-saw mechanism since the high energy parameters are involved as well.

Leptogenesis+low energy neutrino experiments are still not sufficient to over-constraint the see-saw parameter space in a general case and ine has

i)either to look for additional phenomenologies (LFV processes ? EDM's ?, collider physics ?)

or

ii) Restrict the parameter space imposing some assumption

For example SO(10)-inspired models are potentially predictive. They Are ruled out in a traditional N_1 -dom scenario but when production from N_2 neutrinos is taken into account they are viable and produce interesting constraints on the light neutrino mass matrix parameters



Low energy phases as the only source of CP violation

(Nardi et al; Blanchet, PDB, '06; Pascoli, Petcov, Riotto; Anisimov, Blanchet, PDB '08)

The whole CP violation can stems just from low energy phases (Dirac, Majorana phases) and still it is possible to have successful leptogenesis!



However, in general, we cannot constraint the low energy phases with leptogenesis and viceversa we cannot test leptogenesis just measuring CP violation at low energies: we need to add some further condition ! (Blanchet, PDB '06)

FULLY TWO-FLAVORED REGIME



(Blanchet, PDB '06)

NO FLAVOR



Puzzles of Modern Cosmology

- 1. Dark matter
- 2. Matter antimatter asymmetry
- 3. Inflation

Baryogenesis

- 4. Accelerating Universe
- 5. Extra ultrarelativistic degrees of freedom ? $N_{\nu}^{\text{eff}} = 4.3 \pm 0.85$ (WMAP 7 '10)

 \implies clash between the SM and \land CDM !

The total CP asymmetries can be calculated from :



It holds if:

Hierarchical RH neutrino spectrum $M_2 \gtrsim 100 M_1$ N3 does not interfere with N2-decays: $(m_D^{\dagger} m_D)_{23} = 0$ (PDB '05)under these two conditions $\Rightarrow |\varepsilon_{2,3}|^{\max} \ll |\varepsilon_1|^{\max}$

Leptogenesis "conspiracy" (2)



Green points: Unflavored

Red points: Flavored

Leptogenesis and discrete flavour symmetries: A4

(Ma '04; Altarelli, Feruglio '05; Bertuzzo, Di Bari, Feruglio, Nardi '09;Hagedorn,Molinaro,Petcov '09)



The situation is less attractive than in SO(10) inspired models because the RH neutrino mass spectrum first requires very high temperatures, second it does not allow a wash-out of a pre-existing asymmetry Leptogenesis in A4 models (Ma '04: Altarelli, Feruglio '05: Bertuzzo, Di Bari, Feruglio, Nardi '09) However successful leptogenesis seems to be possible (better in the normal hierarchical case) just for the best values of the symmetry breaking parameters



The different lines correspond to values of y between 0.3 and 3

Flavoured Boltzmann equations

$$P_{1\alpha} \equiv |\langle l_{\alpha} | l_{1} \rangle|^{2} = P_{1\alpha}^{0} + \Delta P_{1\alpha}/2 \qquad \left(\sum_{\alpha} P_{1\alpha}^{0} = 1 \right)$$
$$\bar{P}_{1\alpha} \equiv |\langle \bar{l}_{\alpha} | \bar{l}_{1}' \rangle|^{2} = P_{1\alpha}^{0} - \Delta P_{1\alpha}/2 \qquad \left(\sum_{\alpha} \Delta P_{1\alpha} = 0 \right)$$

These 2 terms correspond respectively to 2 different flavor effects:

1) wash-out is in general reduced: $K_1 \to K_{1\alpha} \equiv K_1 P_{1\alpha}^0$ 2) additional *CP* violating contribution $(|\bar{l}'_1\rangle \neq CP|l_1\rangle)$ $\Rightarrow \varepsilon_{1\alpha} \equiv -\frac{P_{1\alpha}\Gamma_1 - \bar{P}_{1\alpha}\bar{\Gamma}_1}{\Gamma_1 + \bar{\Gamma}_1} = P_{1\alpha}^0 \varepsilon_1 + \Delta P_{1\alpha}(\Omega, U)/2$

Classic Kinetic Equations (in their simplest form)

$$\frac{dN_{N_1}}{dz} = -D_1 \left(N_{N_1} - N_{N_1}^{eq} \right)$$

$$\frac{dN_{\Delta_{\alpha}}}{dz} = -\varepsilon_{1\alpha} \frac{dN_{N_1}}{dz} - P_{1\alpha}^0 W_1 N_{\Delta_{\alpha}}$$

$$\Rightarrow N_{B-L} = \sum_{\alpha} N_{\Delta_{\alpha}} \qquad (\Delta_{\alpha} \equiv B/3 - L_{\alpha})$$

The double side of Leptogenesis

Cosmology (early Universe)

• <u>Cosmological Puzzles</u>:



Neutrino Physics, New Physics

- 1. Dark matter
- 2. Matter antimatter asymmetry
- 3. Inflation
- 4. Accelerating Universe

<u>New stage in early Universe history</u>:

- < 10¹⁴ GeV Inflation — Leptogenesis
- 100 GeV EWSSB
 - 0.1- 1 MeV BBN
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Leptogenesis complements low energy neutrino experiments testing the high energy parameters of the seesaw mechanism

⇒ It provides a precious guidance to try to understand what kind of new physics is responsible for the neutrino masses and mixing

<u>Beyond the type I seesaw</u>

It is motivated typically by two reasons:

- Again avoid the reheating temperature lower bound
- In order to get new phenomenological tests....the most typical motivation in this respect is quite obviously whether we can test the seesaw and leptogenesis at the LHC

Typically lowering the RH neutrino scale at TeV , the RH neutrinos decouple and they cannot be efficiently produced in colliders Many different proposals to circumvent the problem:

- additional gauged U(1)_{B-L} (King, Yanagida '04)
- leptogenesis with Higgs triplet (type II seesaw mechanism) (Ma,Sarkar '00 ; Hambye,Senjanovic '03; Rodejohann'04; Hambye,Strumia '05; Antusch '07)
- leptogenesis with three body decays (Hambye '01)
- see-saw with vector fields (Losada, Nardi '07)
- inverse seesaw mechanism and leptogenesis

(talk by R. Mohapatra)

Non minimal leptogenesis Non thermal leptogenesis

The RH neutrino production is non-thermal and typically associated to inflation. They are often motivated in order to obtain successful leptogenesis with low reheating temperature.

- RH neutrino production from inflaton decays (Shafi, Lazarides' 91)
- Leptogenesis from RH sneutrinos decays (Murayama, Yanagida '93)
- RH neutrinos can also be produced at the end of inflation during the pre-heating stage (Giudice, Peloso, Riotto, Tkachev99)

-The connections with low energy neutrino experiments become even looser in these scenarios, while they can be made with properties of CMBR anisotropies (Asaka, Hamaguchi, Yanagida '99)

Improved kinetic description

Momentum dependence in Boltzmann equations

(Hannestad ' 06; Hahn-Woernle, M. Plümacher, Y.Wong '09; Pastor, Vives'09)

Kadanoff-Baym equations

(Buchmüller,Fredenhagen '01; De Simone,Riotto '07; Garny,Hohenegger, Kartavtsev,Lindner '09; Anisimov,Buchmüller,Drewes,Mendizibal '09; Beneke, Garbrecht, Herranen, Schwaller '10)

The asymmetry is directly calculated in terms of Green functions instead than in terms of number densities and they account for offshell , memory and medium effects in a systematic way

At the moment all these analyses confirm what also happens for other effects (e.g. inclusion of scatterings) and that is expected: large theoretical uncertainties in the weak wash-out regime, limited corrections (O(1)) in the strong wash-out regime where the asymmetry is produced in a narrow range of temperatures for T << M_i (Buchmüller,PDB,Plümacher



Flavor effects do not spoil the conspiracy

Green points: Unflavored

Red points: Flavored



....but they yield two interesting results:

A pictorial representation

Let us give a pictorial description focusing on the dominant Higgs asymmetry and disregarding the asymmetries in quarks and charged lepton singlets

Assume $K_{2\alpha} \lesssim 1$ while $K_{2\beta} \gg 1$



This β -asymmetry is induced by the "thermal contact" with the α -leptons via the Higgs

Production stage

We have to solve :

$$\begin{aligned} \frac{dN_{N_2}}{dz_2} &= -D_2 \left(N_{N_2} - N_{N_2}^{\text{eq}} \right), \\ \frac{dN_{\Delta_{\gamma}}}{dz_2} &= \varepsilon_{2\gamma} D_2 \left(N_{N_2} - N_{N_2}^{\text{eq}} \right) - P_{2\gamma}^0 W_2 \sum_{\alpha = \gamma, \tau} C_{\gamma \alpha}^{(2)} N_{\Delta_{\alpha}}, \\ \frac{dN_{\Delta_{\tau}}}{dz_2} &= \varepsilon_{2\tau} \Delta_2 \left(N_{N_2} - N_{N_2}^{\text{eq}} \right) - P_{2\tau}^0 W_2 \sum_{\alpha = \gamma, \tau} C_{\tau \alpha}^{(2)} N_{\Delta_{\alpha}}. \end{aligned}$$

Defining U as the matrix that diagonalizes: $P_2^0 \equiv \begin{pmatrix} P_{2\gamma}^0 C_{\gamma\gamma}^{(2)} & P_{2\gamma}^0 C_{\gamma\tau}^{(2)} \\ P_{2\tau}^0 C_{\tau\gamma}^{(2)} & P_{2\tau}^0 C_{\tau\tau}^{(2)} \end{pmatrix}$ $U P_2^0 U^{-1} = \operatorname{diag}(P_{2\gamma'}^0, P_{2\tau'}^0)$

The asymmetry at $T \sim M_2$ is then given by :

$$\begin{split} N_{\Delta_{\gamma}}^{T \sim M_{2}} &= U_{\gamma\gamma'}^{-1} \left[U_{\gamma'\gamma} \, \varepsilon_{2\gamma} + U_{\gamma'\tau} \, \varepsilon_{2\tau} \right] \, \kappa(K_{2\gamma}) + U_{\gamma\tau'}^{-1} \left[U_{\tau'\gamma} \, \varepsilon_{2\gamma} + U_{\tau'\tau} \, \varepsilon_{2\tau} \right] \, \kappa(K_{2\tau}) \,, \\ N_{\Delta_{\tau}}^{T \sim M_{2}} &= U_{\tau\gamma'}^{-1} \left[U_{\gamma'\gamma} \, \varepsilon_{2\gamma} + U_{\gamma'\tau} \, \varepsilon_{2\tau} \right] \, \kappa(K_{2\gamma}) + U_{\tau\tau'}^{-1} \left[U_{\tau'\gamma} \, \varepsilon_{2\gamma} + U_{\tau'\tau} \, \varepsilon_{2\tau} \right] \, \kappa(K_{2\tau}) \,, \\ N_{B-L}^{T \sim M_{2}} &= N_{\Delta_{\gamma}}^{T \sim M_{2}} + N_{\Delta_{\tau}}^{T \sim M_{2}} \,. \end{split}$$

Flavour coupling in the N₂-dom.scenario

(Antusch, PDB, Jones, King '10)

Flavor coupling does not relevantly affect the final asymmetry In N_1 -leptogenesis (Abada, Josse-Michaux '07) but a strong enhancement is possible in N_2 -leptogenesis because here now there are three stages to be taken into account:

1) Production at 10^{12} GeV >> T ~ $M_2 \gtrsim 10^9$ GeV (2-flavour regime):

$$\frac{dN_{N_2}}{dz_2} = -D_2 \left(N_{N_2} - N_{N_2}^{\text{eq}} \right),$$

$$\frac{dN_{\Delta_{\gamma}}}{dz_2} = \varepsilon_{2\gamma} D_2 \left(N_{N_2} - N_{N_2}^{\text{eq}} \right) - P_{2\gamma}^0 W_2 \sum_{\alpha = \gamma, \tau} C_{\gamma\alpha}^{(2)} N_{\Delta_{\alpha}}, (\gamma \equiv e + \mu)$$

$$\frac{dN_{\Delta_{\tau}}}{dz_2} = \varepsilon_{2\tau} \Delta_2 \left(N_{N_2} - N_{N_2}^{\text{eq}} \right) - P_{2\tau}^0 W_2 \sum_{\alpha = \gamma, \tau} C_{\tau\alpha}^{(2)} N_{\Delta_{\alpha}}.$$

2) Decoherence at $T \sim 10^9 \text{ GeV}$: $N_{\Delta_{\gamma}}^{T \sim M_2}$ splits into $N_{\Delta_{\mu}}^{T \sim M_2}$ and $N_{\Delta_e}^{T \sim M_2}$

3) Lightest RH neutrino wash-out at $T \sim M_1 \ll 10^9 \text{ GeV}$ (3-fl. regime):

$$\frac{dN_{\Delta_{\alpha}}}{dz_1} = -P_{1\alpha}^0 \sum_{\beta} C_{\alpha\beta}^{(3)} W_1 N_{\Delta_{\beta}}, \qquad (\alpha, \beta = e, \mu, \tau)$$

Lightest RH neutrino wash-out

We have to solve

$$\frac{dN_{\Delta_{\alpha}}}{dz_1} = -P_{1\alpha}^0 \sum_{\beta} C_{\alpha\beta}^{(3)} W_1 N_{\Delta_{\beta}}, \qquad (\alpha, \beta = e, \mu, \tau)$$

using as initial conditions $N_{\Delta\beta}^{\rm in}=N_{\Delta\beta}^{T\sim M_2}$

If we first neglect the flavour coupling using the approximation $C^{(3)} = I$, then

$$\frac{dN_{\Delta_{\alpha}}}{dz_1} = -P_{1\alpha}^0 W_1 N_{\Delta_{\alpha}}, \qquad (\alpha, \beta = e, \mu, \tau)$$

This can be straightforwardly solved finding:

$$N_{B-L}^{\mathsf{f}} = N_{\Delta_e}^{T \sim T_{M_2}} e^{-\frac{3\pi}{8}K_{1e}} + N_{\Delta_{\mu}}^{T \sim M_2} e^{-\frac{3\pi}{8}K_{1\mu}} + N_{\Delta_{\tau}}^{T \sim M_2} e^{-\frac{3\pi}{8}K_{1\tau}}$$

Flavor swap scenario

(Antusch, PDB, Jones, King '10)

Suppose that at the production the $e+\mu$ (γ) flavour component of the asymmetry is weakly washed-out while the τ component is strongly washed-out. Then the latter can be considerably enhanced by flavor coupling:

$$N_{\Delta_{\tau}}^{T \sim M_{2}} \simeq \varepsilon_{2\tau} \kappa(K_{2\tau}) - C_{\tau\gamma}^{(2)} \varepsilon_{2\gamma} \kappa(K_{2\gamma}) \simeq C_{\tau\gamma}^{(2)} \varepsilon_{2\gamma} \kappa(K_{2\gamma}),$$

$$N_{\Delta_{\gamma}}^{T \sim M_{2}} \simeq \varepsilon_{2\gamma} \kappa(K_{2\gamma}),$$

At the production the total asymmetry does not relevantly change (Abada, Josse-Michaux '07) but... a "flavor-swap" can be induced at the N₁ wash-out if $K_{1e}, K_{1\mu} \gg 1, K_{1\tau} \ll 1$

$$\Rightarrow N_{B-L}^{\mathsf{f}} = N_{\Delta_e}^{T \sim T_{M_2}} e^{-\frac{3\pi}{8}K_{1e}} + N_{\Delta_{\mu}}^{T \sim M_2} e^{-\frac{3\pi}{8}K_{1\mu}} + N_{\Delta_{\tau}}^{T \sim M_2} e^{-\frac{3\pi}{8}K_{1\tau}} \simeq N_{\Delta_{\tau}}^{T \sim M_2} \simeq C_{\tau\gamma}^{(2)} \varepsilon_{2\gamma} \kappa(K_{2\gamma})$$

In this way the strong enhancement of the τ -asymmetry at the production translates into a strong enhancement of the final asymmetry

Flavour coupling at the N_1 wash-out

Let us now take into account flavour coupling at the N_1 -wash-out as well:

$$\frac{dN_{\Delta_{\alpha}}}{dz_1} = -P_{1\alpha}^0 \sum_{\beta} C_{\alpha\beta}^{(3)} W_1 N_{\Delta_{\beta}}, \qquad (\alpha, \beta = e, \mu, \tau)$$

using as initial conditions $~N_{\Delta\beta}^{\mathrm{in}}=N_{\Delta\beta}^{T\sim M_2}$

We can repeat the same trick as before, i.e. introducing a matrix V that diagonalizes:

$$P_{1}^{0} \equiv \begin{pmatrix} P_{1e}^{0} C_{ee}^{(3)} & P_{1e}^{0} C_{e\mu}^{(3)} & P_{1e}^{0} C_{e\tau}^{(3)} \\ P_{1\mu}^{0} C_{\mu e}^{(3)} & P_{1\mu}^{0} C_{\mu\mu}^{(3)} & P_{1\mu}^{0} C_{\mu\tau}^{(3)} \\ P_{1\tau}^{0} C_{\tau e}^{(3)} & P_{1\tau}^{0} C_{\tau\mu}^{(3)} & P_{1\tau}^{0} C_{\tau\tau}^{(3)} \end{pmatrix}$$

One finally finds the general solution :

$$N_{\Delta_{\alpha}}^{\rm f} = \sum_{\alpha^{\prime\prime}} V_{\alpha\alpha^{\prime\prime}}^{-1} \ e^{-\frac{3\pi}{8} K_{1\alpha^{\prime\prime}}} \left[\sum_{\beta} V_{\alpha^{\prime\prime}\beta} N_{\Delta_{\beta}}^{T \sim M_2} \right] , \quad N_{B-L}^{f} = \sum_{\alpha} N_{\Delta_{\alpha}}^{\rm f}$$

with $K_{1\alpha''} \simeq K_{1\alpha}$

Circumventing the N_1 wash-out

Because of flavour coupling at the N₁ wash-out there is another interesting effect. Let us "unpack" the previous general expression for example for the τ -asymmetry:

$$N_{\Delta_{\tau}}^{f} \simeq V_{\tau e^{\prime\prime}}^{-1} \left[\sum_{\beta} V_{e^{\prime\prime}\beta} N_{\Delta_{\beta}}^{T \sim M_{2}} \right] e^{-\frac{3\pi}{8} K_{1e}} + V_{\tau\mu^{\prime\prime}}^{-1} \left[\sum_{\beta} V_{\mu^{\prime\prime}\beta} N_{\Delta_{\beta}}^{T \sim M_{2}} \right] e^{-\frac{3\pi}{8} K_{1\mu}} + V_{\tau\tau^{\prime\prime}}^{-1} \left[\sum_{\beta} V_{\tau\mu\beta} N_{\Delta_{\beta}}^{T \sim M_{2}} \right] e^{-\frac{3\pi}{8} K_{1\tau}}$$

Now even though one has $K_{1\tau} \gg 1$, there is still a final τ asymmetry that manages to escape the N₁ wash-out. Why? Again because of the Higgs asymmetry present in the thermal bath that is not exactly that one needed for a complete wash-out of the τ asymmetry

 \Rightarrow the lightest RH neutrino wash-out becomes less efficient !

Phantom terms

We have now to answer: how at the decoherence, at T $\sim 10^9~GeV$,

$$N_{\Delta_{\gamma}}^{T \sim M_2}$$
 splits into $N_{\Delta_{\mu}}^{T \sim M_2}$ and $N_{\Delta_e}^{T \sim M_2}$?



Second stage: $T \sim M_2$ (N_2 - washout)

The N₂ wash-out can only suppress the γ -asymmetry but it cannot change the flavour compositions of $\ell_{2\gamma}$ and $\overline{\ell}'_{2\gamma}$



Phantom terms

Third stage: $10^9 \text{ GeV} \gtrsim \text{T}' \gg M_1$ (3-flavour regime)

$$p_e = \varepsilon_{2e} - \frac{f_{2e}}{f_{2e} + f_{2\mu}} \varepsilon_2$$
$$p_\mu = \varepsilon_{2\mu} - \frac{f_{2\mu}}{f_{2e} + f_{2\mu}} \varepsilon_2 = -p_e$$

Notice that phantom terms are not suppressed by N_2 wash-out !

Phantom terms

Phantom Leptogenesis

We can have then a situation where $K_{2\gamma}$, $K_{2\tau}$ >> 1 so that at the End of the N₂ washout the total asymmetry is negligible:



10° GeV \gtrsim T >> M₁

$$N_{B-L}^{T \sim M_2} = N_{\Delta \tau}^{T \sim M_2} + N_{\Delta e}^{T \sim M_2} + N_{\Delta \mu}^{T \sim M_2} \simeq 0 !$$

 $\begin{array}{ll} \textbf{T} \simeq \textbf{M}_{1} & \textbf{Assume } \textbf{K}_{1e} \lesssim 1 \text{ and } \textbf{K}_{1\mu} >> 1 \\ \\ N_{B-L}^{\rm f} = N_{\Delta e}^{T \sim M_{2}} \simeq p_{e} \ ! \end{array}$

The N_1 wash-out un-reveal the phantom term and effectively it create a N_{B-L} asymmetry ! There is nothing esoteric but there is a...

Drawback of phantom Leptogenesis

We assumed an initial N_2 thermal abundance but if we were assuming An initial vanishing N_2 abundance the phantom terms were just zero !

Therefore, more generally :

$$p_e = \left(\varepsilon_{2e} - \frac{f_{2e}}{f_{2e} + f_{2\mu}}\varepsilon_2\right) \left(N_{N_2}^{\text{in}}\right)$$

The reason is that phantom terms with opposite sign would be created during the N_2 production by inverse decays and exactly cancelling with the contribution generated from decays ! More generally

In conclusionphantom leptogenesis is more a problem for the N₂ dominated scenario since it introduces a strong dependence on the initial conditions !!